
Department of Health (DOH)

Agency: 303

Audit Report: 2004 Statewide Accountability Report

Finding Number: 04-21

Finding: The Department of Health does not have adequate internal controls to ensure the integrity of licensing revenue received and processed by its computer system.

Resolution: The Department completed an internal review of the Assessment Systems Incorporated (ASI) system and remittance system in October 2005. This review performed a limited reconciliation for a three month time period for approximately 90 percent of the transactions reported in the ASI system for eighteen different health profession categories. Criteria were applied to this reconciliation to focus detailed testing on particular health professions licensing transactions. These tests were designed to assure the completeness, valuation and existence of reported transactions between the ASI system and remittance processing system. DOH's internal audit work papers were shared cooperatively with the State Auditors Office.

The Department will employ similar testing on a periodic basis on transaction data reported in the ASI system until deployment of a replacement system. Requirements for the new system incorporate internal control measures as integral design elements.

The Department has completed the RFP process and is evaluating a potential vendor for development of the new system with an anticipated system replacement in August 2007.

Agency Contact: Charles Satterlund
Department of Health
PO Box 47901
Olympia, WA 98504-7901
(360) 236-4536
charles.satterlund@doh.wa.gov